



## DATA ON THE BENEFICIAL / ACTUAL OWNERS OF THE CLIENT

In accordance with the article 8 and articles 23 and 24 of the Croatian Act on Money Laundering and Terrorism Financing Prevention (National Gazette 87/2008) the Bank is obliged to obtain data on the beneficial/actual owners of its clients, legal persons.

Actual / beneficial owners of legal persons (their branch offices, representative offices), and other companies under domestic and foreign law that are considered equal to the legal entity, are:

- A. any natural person who, directly or indirectly owns a sufficient percentage of stocks, shares or voting rights in the legal person. A sufficient percentage of stocks, shares or voting rights is at least: 25% + one stock; more than 25% shares; more than 25% voting rights
- B. any natural person who, in some other way exerts influence over the legal person's Management board and who controls the process of making financial and business decisions Actual / beneficial owners of other legal persons, such as foundations, fiduciary business which manage and distribute cash assets, are considered to be:
- C. any natural person who owns more than 25% of property rights in a certain legal business – if the future owners have already been designated
- D. person or a group of persons who have an interest in the legal business, i.e. in whose interest the legal person was founded or in whose interest it conducts business – if the natural persons or legal persons who will benefit from the legal business have still not been identified
- E. any natural person who, directly or indirectly, controls over 25 % of property rights of a certain legal business.

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**DIRECT/INDIRECT OWNER** - direct owner is any natural person which directly participates in the ownership structure of a business entity for which data is obtained. Indirect owner is any natural or legal person, owner of another legal person which participates in the ownership structure of a business entity for which data is obtained.

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## STATEMENT ON THE ACTUAL OWNER

### A) Data on the business entity which the statement is issued for:

Name:

Registration number:  OIB:

Place of foundation/registration:

If you are registered as a joint stock company whose stocks are traded at the acceptable stock exchange (the bank has a list of acceptable stock exchanges), please state the name of the stock exchange and a short designation of the stock.

name of the stock exchange

stock designation

If you do not have any shareholders with at least 25% +one share, it is not necessary to continue filling out this statement.

**B) Data on the actual / beneficial owners**

- 1) The ownership is divided into parts without a sufficient percentage of share, stocks or voting rights (in this case, it is not necessary to continue filling in the form but it is necessary to fill out the Supplement to the Statement – Chart of ownership chain) YES
- 2) The ownership is divided into parts with a sufficient percentage of share, stocks or voting rights (in this case, please continue filling out the form and also fill out the Supplement to the Statement – Chart of ownership chain) YES

**Owner:**

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (street and number, place and postal code, country):

Registration number:  OIB\*only for direct owners:

Title and number of the identification document:

Nationality:  Sex:  male  female

Ownership percentage:

The person is: a direct / indirect owner (circle the correct answer) direct owner  indirect owner

Ownership type: A B C D E (please circle in accordance with the above stated explanation) A  B  C  D  E

**Owner :**

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (street and number, place and postal code, country):

Registration number:  OIB\*only for direct owners:

Title and number of the identification document:

Nationality:  Sex:  male  female

Ownership percentage:

The person is: a direct / indirect owner (circle the correct answer) direct owner  indirect owner

Ownership type: A B C D E (please circle in accordance with the above stated explanation) A  B  C  D  E

**Owner :**Name and surname: Date of birth: Place of birth (place, country): Address of residence (street and number, place and postal code, country): Registration number:  OIB\*only for direct owners: Title and number of the identification document: Nationality:  Sex:  male  femaleOwnership percentage: The person is: a direct / indirect owner (circle the correct answer) direct owner  indirect owner Ownership type: A B C D E (please circle in accordance with the above stated explanation) A  B  C  D  E **Owner :**Name and surname: Date of birth: Place of birth (place, country): Address of residence (street and number, place and postal code, country): Registration number:  OIB\*only for direct owners: Title and number of the identification document: Nationality:  Sex:  male  femaleOwnership percentage: The person is: a direct / indirect owner (circle the correct answer) direct owner  indirect owner Ownership type: A B C D E (please circle in accordance with the above stated explanation) A  B  C  D  E 

For the purpose of identifying the fiduciary relationship (trust or other similar entity in a foreign jurisdiction), I hereby declare that I perform the business activity

On my own behalf  YESOn behalf of a third party  YES

\* If you confirmed that you perform business activity for account of a third party, Attachment 4.3 to this Statement must be completed

I/we hereby declare that the details furnished above are true and accurate. I/we undertake to notify the Bank in writing of any change of the information and details furnished above and of any change of data in respect of beneficial owners, change of members of the management board or other authorized representatives of the business entity.

I/we hereby explicitly authorize sharing of the information furnished on this Statement:

- a)** with members of Raiffeisen Group in Croatia
- b)** with any other member of Raiffeisen group abroad exclusively for the purpose of identifying beneficial owners of the party which furnished the information

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First name and surname of person/persons authorized for representation

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Signature of person/persons authorized for representation

Place and date: