

**TEMPLATE FILLED FOR THE PURPOSE OF:**

- ☐ establishing a business relationship      ☐ reporting data changes  
(in the template state only the data that are changed)

Client Name											
OIB											
Country of Incorporation											
Date of Incorporation											

Street and No.	
Place	
Country	

Enter information on:

- Board members and procurists (data on Supervisory Board members not filled)
- Other authorised representatives of the business entity who are authorised to contract deals with Raiffeisenbank Austria d.d.

	NAME AND SURNAME	OIB <sup>1</sup> / Identification No.	COUNTRY OF RESIDENCE	FUNCTION
1.				
2.				
3.				
4.				
5.				

### Details of the Client's business operations


Number of employees	
---------------------	--

- |  |                |                              |                             |
|--|----------------|------------------------------|-----------------------------|
| Client or a connected business entity (founder or affiliate) is registered or performs activities related to | gambling       | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|  | war material   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|  | nuclear energy | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

### 3. Purpose of Establishing Business Relationship

(fill only when establishing a business relationship or change in ownership structure)

- |   |  |
|---|--|
| <input type="checkbox"/> Transaction Account    | <input type="checkbox"/> Trading in Financial Instruments by Order |
| <input type="checkbox"/> Loans                  | <input type="checkbox"/> Custody Business and Portfolio Management |
| <input type="checkbox"/> Documentary Agreements | <input type="checkbox"/> Factoring                                 |
| <input type="checkbox"/> Treasury Transactions  | <input type="checkbox"/> Other (state) <input type="text"/>        |

Types of transactions to be executed in the account

- ☐ national transactions  
☐ cross-border (EU) transactions  
☐ international transactions

If using the account for international transactions, state data of the 3 most important international business partners

**COMPANY NAME/NAME AND SURNAME  
OF BUSINESS PARTNER**

**COUNTRY**

**NATURE OF BUSINESS**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Planned annual turnover  
in the Account

- |  |   |
|--|---|
| <input type="checkbox"/> up to HRK 200,000   | <input type="checkbox"/> up to HRK 15,000,000 |
| <input type="checkbox"/> up to HRK 500,000   | <input type="checkbox"/> over HRK 15,000,000  |
| <input type="checkbox"/> up to HRK 1,000,000 |   |

Transaction Account used  
primarily for cash transactions

- ☐ Yes  
☐ No

Source of assets

- ☐ assets from regular business operations  
☐ assets from founder  
☐ assets from business partners, sponsors, etc. (loan, etc.)  
☐ other (state)

Expected inflow of assets in the next 12 months by currencies

**CURRENCY**

**AMOUNT**

**FROM WHICH COUNTRY**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

State 3 most important business partners – BUYERS<sup>2</sup>

	NAME/COMPANY	TOWN	COUNTRY
1.			
2.			
3.			

State 3 most important business partners – SUPPLIERS<sup>2</sup>

	NAME/COMPANY	TOWN	COUNTRY
1.			
2.			
3.			

- Client has no significant suppliers/buyers because
- ☐ it is a newly founded business entity  
☐ business entity's activity has no significant suppliers  
☐ business entity's activity has no significant buyers  
☐ other reasons (state)

#### Determining Existence of a Fiduciary Relationship/Pooled account

You are opening a Transaction Account or establishing another business relationship for

- ☐ your own account (not acting in the capacity of a trustee)  
☐ a third person's account (if you ticked this option, filling Attachment 2 hereto is mandatory)

You will use the Account as a Pooled account (in order to administer funds that belong to the customer's own clients)

- ☐ Yes (if you ticked this option, please be noted that you are obligated to perform due diligence measures for your own customers, including establishing the identity of beneficiary owners, and that Raiffeisenbank Austria d.d. can request that such data be delivered to it at any time)  
☐ No

#### 4. Information on a Business Entity Registered as an Offshore Company

(data filled only by a Client with registered seat in any of the below listed offshore destinations)

Tick your registered seat:

- |   |   |   |   |
|---|---|---|---|
| <input type="checkbox"/> Andorra                | <input type="checkbox"/> Cook Islands   | <input type="checkbox"/> Madeira (Portugal)   | <input type="checkbox"/> Seychelles               |
| <input type="checkbox"/> Anquilla               | <input type="checkbox"/> Costa Rica     | <input type="checkbox"/> Marshall Islands     | <input type="checkbox"/> St. Eustatius            |
| <input type="checkbox"/> Antiqua                | <input type="checkbox"/> Curacao        | <input type="checkbox"/> Mauritius            | <input type="checkbox"/> St. Kitts and Nevis      |
| <input type="checkbox"/> Aruba                  | <input type="checkbox"/> Cyprus         | <input type="checkbox"/> Monaco               | <input type="checkbox"/> St. Lucia                |
| <input type="checkbox"/> Bahamas                | <input type="checkbox"/> Delaware (USA) | <input type="checkbox"/> Montserrat           | <input type="checkbox"/> St. Maarten / St. Martin |
| <input type="checkbox"/> Bahrain                | <input type="checkbox"/> Dominica       | <input type="checkbox"/> Nauru                | <input type="checkbox"/> St. Vincent & Grenadines |
| <input type="checkbox"/> Barbados               | <input type="checkbox"/> Gibraltar      | <input type="checkbox"/> Netherlands Antilles | <input type="checkbox"/> Turks and Caicos         |
| <input type="checkbox"/> Barbuda                | <input type="checkbox"/> Grenada        | <input type="checkbox"/> Nevada (USA)         | <input type="checkbox"/> U.S. Virgin Islands      |
| <input type="checkbox"/> Belize                 | <input type="checkbox"/> Guernsey       | <input type="checkbox"/> New Zealand          | <input type="checkbox"/> Uruguay                  |
| <input type="checkbox"/> Bermuda                | <input type="checkbox"/> Isle of Man    | <input type="checkbox"/> Niue                 | <input type="checkbox"/> Vanuatu                  |
| <input type="checkbox"/> Bonaire                | <input type="checkbox"/> Jersey         | <input type="checkbox"/> Palau                | <input type="checkbox"/> Wyoming (USA)            |
| <input type="checkbox"/> British Virgin Islands | <input type="checkbox"/> Labuan         | <input type="checkbox"/> Panama               | <input type="checkbox"/> Zug (Switzerland)        |
| <input type="checkbox"/> Brunei Darussalam      | <input type="checkbox"/> Lebanon        | <input type="checkbox"/> Philippines          |   |
| <input type="checkbox"/> Cape Verde             | <input type="checkbox"/> Liechtenstein  | <input type="checkbox"/> Saba                 |   |
| <input type="checkbox"/> Cayman Islands         | <input type="checkbox"/> Macao          | <input type="checkbox"/> Samoa                |   |

<sup>2</sup> Significant buyers/suppliers are those that participate in a Client's business in a significant amount (>5%).

Provide the reason for choosing the offshore jurisdiction (e.g. fear of political repression, of expropriation, lower company registration or administration costs). If the reason are taxes, this must be clarified or a tax advisor report must be delivered.

Is there any ownership connection to an onshore company? If yes, fill the following data on the onshore company

NAME/COMPANY	COUNTRY AND ADDRESS	CONNECTION DETAILS

Are you already a Client of: ☐ RBI

☐ an RBI Group member  
(state of which one)

If you are a part of a large group of connected companies, state the group name

Do you issue bearer shares ☐ Yes ☐ No

## 5. Tax Residency

The information is collected for the purpose of determining tax residency and fulfilling the obligations that arise from the Act on Administrative Cooperation in Tax Matters. The information shall be used and exchanged in keeping with the valid regulations, adhering to the rules and protection measures concerning personal data, to the effect of implementing the obligation of adequate reporting to the tax administrations of the countries of tax residency of their respective tax residents.

Client is a US tax resident<sup>3</sup> ☐ Yes ☐ No

If yes, enter the tax identification number

--	--	--	--	--	--	--	--	--	--

State countries (other than US) where the Client is a tax resident and the respective tax identification number

### COUNTRY OF TAX RESIDENCE


### TAX IDENTIFICATION NUMBER (TIN)


If you entered no tax identification number for the respective country of tax residency above, tick your reason

- ☐ country of tax residency does not issue TIN
- ☐ country of tax residency did not issue a TIN to the business entity
- ☐ other (state)

If the country of seat/registration address is different from the country of tax residency, please clarify

Is the Client a Passive NFE<sup>4</sup> ☐ Yes ☐ No

Passive NFE – passive non-financial entity is the entity which realized over 50% of gross income as passive income in the previous calendar year and over 50% of the entity's assets generate or are held for the purpose of generating passive income. Passive income consists of e.g. dividend, interests, lease or royalties that do not arise from active business, annuity, etc.

Passive non-financial entity is the one of which the core business is classified into one of the following categories: 6420; 7010; 7490; 8899; 9700 and 9820, or if the Client themselves stated to be involved in a passive business activity.

<sup>3</sup> US tax resident is obliged to deliver filled and signed form W9

<sup>4</sup> Passive NFE are obliged to deliver filled and signed form W-8BEN-E

Does the Client engage in any of the following activities in their business<sup>5</sup>

- |   |                              |                             |
|---|------------------------------|-----------------------------|
| - accepting deposits within regular banking or similar business   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| - holding financial assets and performing related financial services (custody) for a third party's account whereby your company realizes over 20% of gross income from such activities over the past three years (or in the period since the company incorporation if this period is less than three years) | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| - performing currency exchange activities, trading in money market instruments, FCY, interest and index instruments, security papers and commodity futures in the name and for the account of their customer  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| - managing individual and joint portfolio in the name and for the account of their customer   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| - investing in another manner, by administering or managing funds or money for a third party's account  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| - issuing insurance agreements with cash value payment option or life assurance with annuities payment, of making payments in respect of said agreements  | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Tick if any of the following is applicable to the Client:

- ☐ public government authority or entity owned by such authority or central bank
- ☐ international organization or entity owned by such organization
- ☐ regularly traded corporation which stocks are regularly traded on an established security market, or the corporation is a related entity of a regularly traded corporation
- ☐ investment entity managed by a financial institution registered and with seat in a country that is not a participating jurisdiction

## 6. Ownership Structure of Business Entity

In keeping with Articles 8 through 31 of the Act on Prevention of Money Laundering and Terrorist Financing (Official Gazette 108/2017 and 39/2019), the Bank is obligated to determine and check the identity of the Client's beneficiary.

If you are registered as a joint stock company with stock regularly traded in an acceptable stock exchange (see list), state the name of the stock exchange and share code, thus exempting yourself from further filling the ownership data:

**STOCK EXCHANGE**

**STOCK ISIN**



<sup>5</sup> Client which thicked one of the activities is obliged to deliver its GIIN (Global Intermediary Identification Number) and filled and signed form W-8BEN-E

**Acceptable stock exchanges:**

Country	Stock Exchange	Country	Stock Exchange
Albania	Tirana Stock Exchange	Luxembourg	Bourse de Luxembourg; Luxembourg Stock Exchange (Bourse de Luxembourg)
Australia	Australian Securities Exchange; Sydney Stock Exchange (SSX)	Malaysia	Kuala Lumpur Stock Exchange
Austria	Vienna Stock Exchange	Malta	European Wholesale Securities Market; Institutional Financial Securities Market; Malta Stock Exchange
Belgium	Euronext Brussels	Mexico	Bolsa Mexicana de Valores
Brazil	Bolsa de Valores, Mercadorias & Futuros de Sao Paulo	Netherlands	Euronext Amsterdam; ICE Endex Markets B.V.; Nxchange B.V.
China	Shanghai Stock Exchange; Shenzhen Stock Exchange	Norway	FISH POOL ASA; NASDAQ OSLO ASA; NOREXCO ASA; Oslo Bors
Croatia	Zagreb Stock Exchange	Poland	Warsaw Stock Exchange
Cyprus	Cyprus Stock Exchange	Portugal	EURONEXT – MERCADO DE FUTUROS E OPÇÕES; Euronext Lisbon; Euronext Lisbon – Sociedade Gestora de Mercados Regulamentados, SA; OMIP – Pólo Português, S.G.M.R., SA
Czech Republic	Burza cenných papírů Praha, a.s.; Prague Stock Exchange; RM-SYSTÉM, česká burza cenných papírů a.s.	Quatar	Quatar Stock Exchange
Denmark	Copenhagen Stock Exchange (belongs to NASDAQ Nordic OMX); NASDAQ COPENHAGEN A/S	Romania	Bursa de Valori Bucuresti (BVB)
Estonia	NASDAQ Tallinn Aktiaselts; OMX Nordic Exchange Baltic Market Tallinn	Russia	Moscow Stock Exchange; St. Petersburg Stock Exchange
Finland	Helsinki Stock Exchange (belongs to NASDAQ Nordic OMX); NASDAQ Helsinki Oy	Saudi Arabia	Saudi Stock Exchange (Tadawul)
France	Euronext Paris (Paris Bourse); MATIF; MONEP; Powernext SAS	Serbia	Belgrad Stock Exchange
Germany	BADEN-WÜRTTEMBERGISCHE WERTPAPIERBOERSE (REGULIERTER MARKT); Bavarian Exchange AG (Exchange Munich); Boerse Berlin; Boerse Düsseldorf; EUREX DEUTSCHLAND; Eurex Frankfurt AG; Exchange Stuttgart AG; German Exchange AG (Frankfurt); Hamburg Stock Exchange; HANSEATISCHE WERTPAPIERBOERSE HAMBURG (REGULIERTER MARKT); NIEDERSÄCHSISCHE BOERSE ZU HANNOVER (REGULIERTER MARKT); TRADEGATE EXCHANGE (REGULIERTER MARKT); XETRA (REGULIERTER MARKT)	Singapore	SGX - Singapore Stock Exchange
Greece	ATHEX Athens Stock Exchange	Slovakia	Bratislava Stock Exchange
Hong Kong	Hong Kong Stock Exchange	Slovenia	Ljubljana Stock Exchange
Hungary	Budapest Stock Exchange; Hudez Energiatőzsde Zrt.	South Africa	Johannesburg Stock Exchange
Iceland	Iceland Stock Exchange (belongs to NASDAQ Nordic OMX)	South Korea	KOSDAQ (Part of KRX); KRX Korea Stock Exchange
India	Bombay Stock Exchange (BSE); National Stock Exchange of India	Spain	Barcelona Stock Exchange; Bolsa de Barcelona; Bolsa de Bilbao; Bolsa de Madrid; Bolsa de Valencia; Bolsas y Mercados Espanoles (BME); Bolsa de Madrid (The Madrid Stock Exchange), Barcelona / Bilbao/ Valencia Stock Exchange
Indonesia	Indonesia Stock Exchange (IDX)	Sweden	Nasdaq Stockholm AB; Stockholm Stock Exchange (belongs to NASDAQ Nordic OMX)
Ireland	ISE Irish Stock Exchange	Switzerland	Eurex Zurich; SIX Swis Exchange (SWX)
Israel	Tel Aviv Stock Exchange	Taiwan	Taiwan Stock Exchange
Italy	Borsa Italiana; MTS S.P.A. – MTS Italia	Thailand	The Stock Exchange of Thailand
Japan	JASDAQ (Part of JPX); Osaka Exchange (Part of JPX); Hercules (Part of the Osaka Exchange); Tokio Stock Exchange (JPX); TSE – MOTHERS (Part of JPX)	Turkey	Istanbul Stock Exchange
Latvia	Nasdaq Riga AS	UAE	Dubai Financial Market; NASDAQ Dubai
Lithuania	Nasdaq Vilnius, AB	United Kingdom	London Stock Exchange
		United States of America	Chicago Stock Exchange; NASDAQ Stock Market; New York Stock (NYSE)

## Information on Beneficial owner

The ultimate beneficial owner = a private individual

The beneficial owner of a business entity is considered to be the private individual/s who is/are the ultimate owner or the one who has effective control over the business entity or in whose name a transaction is executed, and includes at least the following:

- A.** private individual/s who own/s the business entity and who otherwise exercise/s control over a sufficient percentage of stocks of the business entity by direct ownership, including also bearer shares, or voting rights or shares in the business entity. Indicator of direct ownership exercised by private individual/s over a business entity is over 25% of shares, voting or other rights pursuant to which rights they gain the right to manage the business entity or ownership of 25% plus one stock **(D)**.
- B.** private individual/s who exercise/s control over a business entity by indirect ownership of a sufficient percentage of stocks, including also bearer shares, or voting rights or shares in the business entity. Indicator of indirect ownership is ownership or control of the respective private individual/s over one or more business entities which individually or jointly hold over 25% of shares or 25% plus one stock **(IND)**.

**Is there a private individual eligible as under the definitions from items A and B above?**

☐ **Yes** (If yes, please fill data in the fields **Beneficial owner** below)  
☐ **No**

If no beneficial owner eligible as under the definitions from items A or B above exists, ownership data must be determined through one of the following definitions data in the fields Beneficial owner must be filled:

- C.** Private individual/s who exercise/s control over managing a business entity's assets through other means. Control exercised over managing a business entity's assets through other means can refer also to the control criteria used in preparing consolidated financial statements, e.g. shareholders' agreement, gaining a controlling influence and authority to appoint senior management **(KP)**.
- D.** Subsidiary beneficial ownership: exists where there are no private individual/s who correspond to the actual/beneficial owner definition on the basis of ownership or control position, and in such cases directors / Management Board members are considered to be the actual/ beneficial owners **(SUP)**.
- E.** Beneficiaries of trusts, and of any legal entities subject to foreign law equal to a trust, are considered to be the private individual/s who exercise ultimate control over the respective legal entity, which includes the following: settlors, trustees, protectors (if any), beneficiary or a group of beneficiaries of the assets if they have already being determined, council members and other natural persons exercising control **(KT, OT, UT or ZT)**.
- F.** Beneficiaries of domestic and foreign associations and their alliances, trusts, funds, institutions, artistic organizations, chambers, trade unions, employer associations, political parties, co-operatives, credit unions or religious communities, are any private individual/s authorised for representation or any private individual/s of a controlling assets management position **(SUP)**.

## Beneficial owner

Name	<input type="text"/>	Surname	<input type="text"/>
OIB <sup>6,7</sup>	<input type="text"/>		
Date of Birth	<input type="text"/>	Place of Birth <sup>7</sup>	<input type="text"/>
Country of Birth <sup>7</sup>	<input type="text"/>	Citizenship	<input type="text"/>

## Residence Address

Street and No. <sup>7</sup>	<input type="text"/>
Place <sup>7</sup>	<input type="text"/>
Country	<input type="text"/>
Type of Beneficial Ownership <sup>8</sup>	<input type="text"/>
Ownership Percentage <sup>9</sup>	<input type="text"/>

Beneficiary is a US Citizen<sup>7</sup> ☐ Yes ☐ No

Tax Residency Countries<sup>7</sup>


TIN<sup>7</sup>


<sup>6</sup> Enter if one was assigned to the Beneficial owner of a Client which is a Passive NFE.

<sup>7</sup> Additional data collected pursuant to the Act on Administrative Cooperation in Tax Matters.

<sup>8</sup> Details of the letter code are included in the definition of Beneficial owner on Page 7 of this form.

<sup>9</sup> The field Ownership Percentage is filled only if the code D or IND was entered in the field Type of Beneficial Ownership.

### Beneficial owner

Name	<input type="text"/>	Surname	<input type="text"/>
OIB <sup>6,7</sup>	<input type="text"/>		
Date of Birth	<input type="text"/>	Place of Birth <sup>7</sup>	<input type="text"/>
Country of Birth <sup>7</sup>	<input type="text"/>	Citizenship	<input type="text"/>

### Residence Address

Street and No. <sup>7</sup>	<input type="text"/>
Place <sup>7</sup>	<input type="text"/>
Country	<input type="text"/>
Type of Beneficial Ownership <sup>8</sup>	<input type="text"/>
Ownership Percentage <sup>9</sup>	<input type="text"/>

Beneficiary is a US Citizen<sup>7</sup> ☐ Yes ☐ No

Tax Residency Countries <sup>7</sup>	TIN <sup>7</sup>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

### Beneficial owner

Name	<input type="text"/>	Surname	<input type="text"/>
OIB <sup>6,7</sup>	<input type="text"/>		
Date of Birth	<input type="text"/>	Place of Birth <sup>7</sup>	<input type="text"/>
Country of Birth <sup>7</sup>	<input type="text"/>	Citizenship	<input type="text"/>

### Residence Address

Street and No. <sup>7</sup>	<input type="text"/>
Place <sup>7</sup>	<input type="text"/>
Country	<input type="text"/>
Type of Beneficial Ownership <sup>8</sup>	<input type="text"/>
Ownership Percentage <sup>9</sup>	<input type="text"/>

Beneficiary is a US Citizen<sup>7</sup> ☐ Yes ☐ No

Tax Residency Countries <sup>7</sup>	TIN <sup>7</sup>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

<sup>6</sup> Enter if one was assigned to the Beneficial owner of a Client which is a Passive NFE.  
<sup>7</sup> Additional data collected pursuant to the Act on Administrative Cooperation in Tax Matters.  
<sup>8</sup> Details of the letter code are included in the definition of Beneficial owner on Page 7 of this form.  
<sup>9</sup> The field Ownership Percentage is filled only if the code D or IND was entered in the field Type of Beneficial Ownership.



**Beneficial owner**

Name	<input type="text"/>	Surname	<input type="text"/>
OIB <sup>6,7</sup>	<input type="text"/>		
Date of Birth	<input type="text"/>	Place of Birth <sup>7</sup>	<input type="text"/>
Country of Birth <sup>7</sup>	<input type="text"/>	Citizenship	<input type="text"/>

**Residence Address**

Street and No. <sup>7</sup>	<input type="text"/>		
Place <sup>7</sup>	<input type="text"/>		
Country	<input type="text"/>		
Type of Beneficial Ownership <sup>8</sup>	<input type="text"/>	Ownership Percentage <sup>9</sup>	<input type="text"/>
Beneficiary is a US Citizen <sup>7</sup> <input type="checkbox"/> Yes <input type="checkbox"/> No			

Tax Residency Countries <sup>7</sup>	TIN <sup>7</sup>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

In Attachment 1 please present the overall ownership structure. Instead of filling Attachment 1, you can attach herewith the organization chart dated and signed by an authorised representative demonstrating the ownership structure and containing the following mandatory information: name, city, country and ownership percentage of every individual entity up to the ultimate named beneficial owner.

The Client shall provide documentation for the information on beneficiaries. Eligible documentation shall be deemed the information from the respective competent registry in the original or certified photocopy not older than 3 months. If such document does not contain information on beneficiaries, the Client shall deliver the originals or certified photocopies of other documentation which allows this to be determined (e.g. social contract, print-out from the shareholders' book) not older than 3 months.

Collecting information contained in this Questionnaire is mandatory. If the Client refuses to exchange a particular piece of information or provides incorrect information to Raiffeisenbank Austria d.d. (hereinafter: Bank), the Bank can reject the business relationship to be established or terminate a previously made business relationship with the Client in accordance with regulations governing the prevention of money laundering and terrorist financing.

We are aware that the Bank collects and processes the information in this Questionnaire in compliance with the requirements of the Bank as the processor to the effect of customer due diligence and fulfilling the obligations pursuant to the regulations mentioned in this Questionnaire herein, and to the effect of establishing or maintaining the Client's relationship with the Bank.

<sup>6</sup> Enter if one was assigned to the Beneficial owner of a Client which is a Passive NFE.

<sup>7</sup> Additional data collected pursuant to the Act on Administrative Cooperation in Tax Matters.

<sup>8</sup> Details of the letter code are included in the definition of Beneficial owner on Page 7 of this form.

<sup>9</sup> The field Ownership Percentage is filled only if the code D or IND was entered in the field Type of Beneficial Ownership.

By signing this Questionnaire, we confirm, under material liability, that the above information is accurate and updated.  
We undertake to notify the Bank in writing of any and all changes to the above information immediately.

If we as the Client wish to establish a relationship with any of the Raiffeisen Group members in the country or abroad, we agree that the information contained in this Questionnaire can be exchanged with the respective Raiffeisen Group member.

By signing this Questionnaire, we express our consent that any and all information in this Questionnaire and other data placed at the Bank's disposal by the authorised person at the time of establishing and duration of the business relationship as well as the data, facts and circumstances that the Bank learns in the process of executing any agreement made with the Bank can be used within the Bank and the Raiffeisen Group members in the country and abroad, to the effect of determining the Client's tax residency and fulfilment of obligations arising from the Act on Administrative Cooperation in Tax Matters and that these can be used and exchanged, in compliance with the regulations, to the effect of reporting to the tax administrations of the residence countries on their respective tax residents to the effect of implementing the Act on Administrative Cooperation in Tax Matters.

We, hereby, represent and warrant that the information on beneficiaries was collected and submitted to the Bank at disposal pursuant to consent from the above persons and that the consent from the previous sentence refers also to the information on beneficiaries.

We know that any and all information on data processing is contained in the document Rules of Personal Data Treatment of Raiffeisenbank Austria d.d., which is available at the Bank's Branches and [www.rba.hr](http://www.rba.hr).

Name and surname of the authorised representative/s signing this form:


Place and date:

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\_\_\_\_\_  
Certification of the Client: