



DUE DILIGENCE QUESTIONNAIRE – sovereigns, local and regional administration and self-government, international missions and international organisations

Raiffeisenbank Austria d.d. (hereinafter: the Bank) collects and processes the data provided in this Questionnaire, including personal data, for the purpose of complying with its legal obligations as the processing party in order to perform client due diligence and fulfil the obligations which arise from the regulations specified below, and all to the effect of establishing and maintaining the client's business relationship with the Bank.

The data from this Questionnaire, including personal data, are collected and processed pursuant to:

- The Anti-Money Laundering and Terrorist Financing Act and related implementing regulations,
- The Act on International Restrictive Measures and the resolutions of the European Union on the implementation of international restrictive measures and related implementing regulations,
- The Act on Administrative Cooperation in the Field of Taxation and/or other tax regulations

Collecting data contained in this Questionnaire is mandatory. If the Client refuses to disclose a required piece of data or provides incorrect information, the Bank may reject to establish a business relationship or terminate an already established business relationship with the Client.

illiornation, the Bank May reject to establish a	information, the Bank may reject to establish a business relationship of terminate an already established business relationship with the client.					
TEMPLATE FILLED FOR THE PURPOSE Companies and establishing a business relations	_					
1. TYPE OF CLIENT						
state ministry state administrative organizatio public authority (fund, agency, in county/city/municipality other						
2. CLIENT'S IDENTIFICATION DATA	A					
Name OIB (PIN) LEI	VAT ID (filled by Client registered in an EU member state, except RH)					
Date of Establishment (not for sovereigns)	Country of Establishment					
SEAT ADDRESS						
Place DATA OF AUTHORISED REPRESENTATIV						
Enter data¹ of the Client's authorised represe	ntatives with powers to do business with Raiffeisenbank Austria d.d.					
1. Name and Surname						
OIB²/Identification No.	Citizenship					
Date of Birth	Country of Residence					
Function						

Data are collected also in the capacity of beneficial owners of subsidiary/ies, in compliance with the Anti-Money Laundering and Terrorist Financing Act

² Fill if assigned to a person authorised for client representation



2.	Name and Surname						
	OIB ² /Identification No.			Citizenship			
	Date of Birth			Country of Residence			
	Function						
3.	Name and Surname						
Э.	OIB ² /Identification No.			Citizenship			
	Date of Birth			Country of Residence			
	Function						
4.	Name and Surname						
	OIB ² /Identification No.			Citizenship			
	Date of Birth			Country of Residence			
	Function						
5.	Name and Surname						
٥.	OIB ² /Identification No.			Citizenship			
	Date of Birth			Country of Residence			
	Function			country of Residence			
	Tunction						
3. BU	JSINESS ACTIVITY						
Details	of business activity which	is or will be per	formed via RBA	A.			
Number	of employees						
		tios	gambling			Yes	No
Client is registered or performs activities related to		military business activities			Yes	No	
			nuclear power			Yes	No
Client ho	as business activity or other	nexus to	Presence (subsidiary, rep. office	a joint venture)		Yes	No
sanctioned countries and regions like Iran, Syria, North Korea, Crimea, Afganistan, Russia, Belarus, Eastern Ukraine - Donetsk and Luhansk Oblasts			Business partners (buyers, suppliers, customers)			Yes	No
			Selling products/offering services			Yes	No
			Ownership / Au (owned by sanctioned		Yes	No	
If any of the answers is YES, please explain in detail:							



4. PURPOSE OF BUSINESS RELATIONSHIP								
Select the purpose of business relationship with the Bank								
☐ Trans	☐ Transaction Account ☐ Trading in Financial Instruments by Order							
Loans	☐ Loans ☐ Custody Business and Portfolio Management							
Docui	☐ Documentary Agreements ☐ Factoring							
Treas	ury Transactions		Other (state)					
relationship in	tablishing busine I RH ntity with seat outside I							
The Client is opening a Transaction Account or establishing another business relationship for their own account (not acting in the capacity of a trustee) a third party's account (if you ticked this option, filling the Attachment Trusteeship hereto is mandatory)								
5. BUSINES	S TRANSACTIO	NS THROUG	H RBA TRANSA	CTION	ACCOL	JNT		
Types of trans	sactions to be ex	ecuted in the a	ccount					
national cross-border payments international payments towards the following states							payments towards the following states ³	
Planned account turnover (total inflows and outflows) in the next 12 months					up to E	EUR 50.000 EUR 100.000 EUR 300.000		up to EUR 1.000.000 up to EUR 2.000.000 over EUR 2.000.000
Expected annual account turnover increase in next 3 years								
up to 15	%⁴ □ up to	30% 🔲 ι	up to 50%	up to	100%	over 100%	6	
Compared to the planned annual turnover in the account, expected inflow of assets to the account								
CURRENCY		AMOUNT		FRO	M WHIC	H COUNTRY		
Transaction account will be used for cash transactions primarily Yes No							No	
Source of funds inflow to the account								
funds from home country loans from financial/credit institutions								
budget funds loans/deeds of gift								
funds	from business a	ctivity	other (state)					

³ Afghanistan, Barbados, Burkina Faso, Cambodia, Cayman Islands, DPK Korea, Haiti, Iran, Jamaica, Jordan, Mali, Morocco, Myanmar, Nicaragua, Pakistan, Panama, The Philippines, Senegal, South Sudan, Syria, Trinidad and Tobago, Uganda, Vanuatu, Yemen, Zimbabwe

⁴ This selection is also applicable in case that no annual increase in account turnover is expected in the next 3 years



6. TAX RESIDENCY (filled by international organization)

The information is collected to the effect of determining tax residency and fulfilling the obligations arising from the Act on Administrative Cooperation in the Field of Taxation. It will be used and communicated in keeping with the regulations in effect, taking into consideration the rules and measures for personal data protection, to the effect of implementing the obligations of appropriate reporting to tax administrations of countries of residence on their respective tax residents.

State the countries in which the Client is a tax resident and their respective Tax Identification No.

COUNTRY OF RESIDENCE			TAX IDENTIFICATION NUMBER
If no Tax Identification No. was		country o	f tax residence does not issue a tax number
entered for the country of		country o	f tax residence has not issued a tax number to the business entity
residence, state the reason		other (state)	
If the country of seat / registration addr different from the country of tax resider		·y	
By signing this Questionnaire:			tion mustialed in this Occation which is tour and on to dot.
_	-		tion provided in this Questionnaire is true and up to date Bank of any data changes in respect of the information provided in this
Questionnaire in writing			
abroad, I agree that the data from this during onboarding process and in the	Question course bu	nnaire as w usiness rela	elationship with one of the members of Raiffeisen Group in the country or ell as all other data and documents that I have made available to the Bank ationship for the purpose of implementing the measures arising from the
 I explicitly agree that any and all infor the Bank's disposal at any time of esta which the Bank learns while executing Group members in the country or abro the Act on Administrative Cooperati regulations, to the effect of implement 	rmation fablishing any agreed to the online the online the online the	rom this Quand duration the ements the effect of control of the obligations	e exchanged with such member of the Raiffeisen Group uestionnaire as well as other any and all information that I have placed at on of business relationship as well as information, facts and circumstances at I have made with the Bank, can be used at the Bank and other Raiffeisen determining tax residency and complying with the obligations arising from Taxation and can be used and communicated, in compliance with the sof adequate reporting to tax administrations of the respective countries are purpose of implementing the Act on Administrative Cooperation in the
Field of Taxation	one caxp	ayers for cr	to purpose of implementing the flet of flatinistrative cooperation in the
• I am aware that any and all information of Raiffeisenbank Austria d.d. which are			nal data processing are contained in the Rules of Personal Data Treatment
of Rufffelsenbulk Austria a.a. Wilch a	e avallar	ne at the bi	runches and on www.rbu.m
Name and surname of the Client's aut	horised r	epresentat	cive/s signing the form:
Place and date			

Client's signature