

TEMPLATE FILLED FOR THE PURPOSE OF:

- establishing a business relationship reporting data changes

<input type="checkbox"/> state ministry	<input type="checkbox"/> diplomatic mission or consular post
<input type="checkbox"/> state administrative organization	<input type="checkbox"/> international mission
<input type="checkbox"/> public authority (fund, agency, institute)	<input type="checkbox"/> supranational institution
<input type="checkbox"/> county/city/municipality	<input type="checkbox"/> international organization
<input type="checkbox"/> other	

Name															
OIB (PIN)												VAT ID			
											(filled by Client registered in an EU member state, except RH)				
LEI															
Date of Establishment											Country of Establishment				
(not for sovereigns)															

Street and No.			
Place		Country	

Enter data¹ of the Client's authorised representatives with powers to do business with Raiffeisenbank Austria d.d.

- | | | | | |
|-----------|--------------------------------------|--|----------------------|--|
| 1. | Name and Surname | | | |
| | OIB ² /Identification No. | | Citizenship | |
| | Date of Birth | | Country of Residence | |
| 2. | Name and Surname | | | |
| | OIB ² /Identification No. | | Citizenship | |
| | Date of Birth | | Country of Residence | |

¹ Data are collected also in the capacity of beneficial owners of subsidiary/ies, in compliance with the Anti-Money Laundering and Terrorist Financing Act.

² Fill if assigned to a person authorised for client representation

3.	Name and Surname	<input type="text"/>		
	OIB ² /Identification No.	<input type="text"/>	Citizenship	<input type="text"/>
	Date of Birth	<input type="text"/>	Country of Residence	<input type="text"/>
4.	Name and Surname	<input type="text"/>		
	OIB ² /Identification No.	<input type="text"/>	Citizenship	<input type="text"/>
	Date of Birth	<input type="text"/>	Country of Residence	<input type="text"/>
5.	Name and Surname	<input type="text"/>		
	OIB ² /Identification No.	<input type="text"/>	Citizenship	<input type="text"/>
	Date of Birth	<input type="text"/>	Country of Residence	<input type="text"/>

3. BUSINESS ACTIVITY

Details of business activity which is or will be performed via RBA

Number of employees

Client is registered or performs activities related to	gambling	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	military business activities	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	nuclear power	<input type="checkbox"/> Yes	<input type="checkbox"/> No

4. PURPOSE OF ESTABLISHING BUSINESS RELATIONSHIP (fill only when establishing a business relationship)

- | | |
|---|--|
| <input type="checkbox"/> Transaction Account | <input type="checkbox"/> Trading in Financial Instruments by Order |
| <input type="checkbox"/> Loans | <input type="checkbox"/> Custody Business and Portfolio Management |
| <input type="checkbox"/> Documentary Agreements | <input type="checkbox"/> Factoring |
| <input type="checkbox"/> Treasury Transactions | <input type="checkbox"/> Other <small>(state)</small> |

Reason for establishing business relationship in RH
(filled by business entity with seat outside RH)

The Client is opening a Transaction Account or establishing another business relationship for

- ☐ their own account (not acting in the capacity of a trustee)
- ☐ a third party's account (if you ticked this option, filling the Attachment *Trusteeship* hereto is mandatory)

5. BUSINESS TRANSACTIONS THROUGH RBA TRANSACTION ACCOUNT

Types of transactions to be executed in the account

- ☐ national payments
 ☐ cross-border payments (EEA: EU members, Iceland, Lichtenstein, Norway)
 ☐ international payments
 ☐ payments towards the following states³

Planned account turnover in the next 12 months

- ☐ up to HRK 200.000
 ☐ up to HRK 5.000.000
☐ up to HRK 500.000
 ☐ up to HRK 15.000.000
☐ up to HRK 1.000.000
 ☐ over HRK 15.000.000

Expected annual account turnover increase in next 3 years

- ☐ up to 15%
 ☐ up to 30%
 ☐ up to 50%
 ☐ up to 100%
 ☐ over 100%

Compared to the planned annual turnover in the account, expected inflow of assets to the account

CURRENCY	AMOUNT	FROM WHICH COUNTRY

Transaction account will be used for cash transactions primarily

- ☐ Yes
 ☐ No

Source of funds inflow to the account

- ☐ funds from home country
 ☐ loans from financial/credit institutions
☐ budget funds
 ☐ loans/deeds of gift
☐ funds from business activity
 ☐ other (state)

6. TAX RESIDENCY (filled by international organization)

The information is collected to the effect of determining tax residency and fulfilling the obligations arising from the Act on Administrative Cooperation in the Field of Taxation. It will be used and communicated in keeping with the regulations in effect, taking into consideration the rules and measures for personal data protection, to the effect of implementing the obligations of appropriate reporting to tax administrations of countries of residence on their respective tax residents.

State the countries in which the Client is a tax resident and their respective Tax Identification No.

COUNTRY OF RESIDENCE

TAX IDENTIFICATION NUMBER

³ Afghanistan, Bahami, Barbados, Bocvana, DNR Koreja, Gana, Irak, Iran, Jamajka, Jemen, Kambodža, Mauricijus, Mjanmar/Burma, Nikaragva, Pakistan, Panama, Sirija, Trinidad i Tobago, Uganda, Vanuatu, Zimbabve

If no Tax Identification No. was entered for the country of residence, state the reason

- ☐ country of tax residence does not issue a tax identification no.
- ☐ country of tax residence has not issued a tax identification no. to the business entity
- ☐ other (state)

If the country of seat / registration address is different from the country of tax residence, clarify

Collecting information contained herein is mandatory. If a Client refuses to disclose a specific piece of information or provides incorrect information to Raiffeisenbank Austria d.d. (hereinafter: Bank), the Bank can reject to establish a business relationship or terminate an already established business relationship with the Client in accordance with the regulations stipulating prevention of money laundering and terrorism financing.

I am aware that the Bank collects and processes the data herein to the effect of complying with the statutory obligations of the Bank as the processing party to the effect of implementing customer due diligence and complying with the obligations arising from the above regulations, and all to the effect of establishing and maintaining the customer's relationship with the Bank.

By signing hereunder, I represent and warrant, under material liability, that any and all information provided herein is true and up to date. I undertake to personally and without any delay notify the Bank of any changes whatsoever related to the above stated information in writing.

In the event that, as a Client, I wish to establish a relationship with one of the members of the Raiffeisen Group in Croatia or abroad, I agree that the data from this Questionnaire as well as all other data and documents that I have made available to the Bank during onboarding process and in the course business relationship for the purpose of implementing the measures arising from the Anti-Money Laundering and Terrorist Financing Act may be exchanged with such member of the Raiffeisen Group.

By signing hereunder, I explicitly agree that any and all information herein as well as any and all information that I have placed at the Bank's disposal at the time of establishing and duration of the business relationship as well as information, facts and circumstances which the Bank learns while executing any agreements that I have made with the Bank, can be used at the Bank and other Raiffeisen Group members in the country or abroad to the effect of determining tax residency and complying with the obligations arising from the Act on Administrative Cooperation in the Field of Taxation and can be used and communicated, in compliance with the regulations, to the effect of implementing the obligations of adequate reporting to tax administrations of the respective countries of residence on their respective resident taxpayers for the purpose of implementing the Act on Administrative Cooperation in the Field of Taxation.

I am aware that any and all information referring to personal data processing are contained in the *Rules of Personal Data Treatment of Raiffeisenbank Austria d.d.*, which are available at the branches and on www.rba.hr.

Name and surname of the Client's authorised representative/s signing the form:

Place and date

Client's signature