



DATA ON BENEFICIAL / ACTUAL OWNERS OF CLIENT

In accordance with Articles 28 through 35 of the Act on Money Laundering and Terrorism Financing Prevention (Official Gazette 108/2017), the Bank is obligated to determine and verify the identity of its client's beneficial/actual owner.

Actual / beneficial owner of a legal entity is deemed to be any natural person/s who is/are the end owner or who control/s the client or in whose name a transaction is executed, and includes at least the following:

- A) any natural person/s who own/s the legal entity and who control/s the legal entity by direct ownership of a sufficient percentage of stocks, including bearer shares, or voting rights or shares in the legal entity. Direct ownership that the natural person has in the legal entity is the ownership of more than 25% of shares, voting or other rights pursuant to which they realize their right of the legal entity management, or the ownership of 25% plus one stock;
- B) any natural person/s controlling the legal entity by indirect ownership of a sufficient percentage of stocks, including bearer shares, or voting rights or shares in the legal entity. Indirect ownership that a natural person has in the legal entity is the ownership or control that the natural person has in one or more legal entities with more than 25% of shares or 25% plus one stock individually or jointly;
- C) any natural person/s who has a control position in the business entity's assets management through other means. A controlling management position over the business entity's assets through other means can refer also to the control criteria used in preparing consolidated financial reports, e.g. shareholders' agreements, by realization of pervading influence and authority to appoint senior management.
- D) Subsidiary beneficial ownership: exists where there are no natural persons who would correspond to the actual/beneficial owner definition on the basis of ownership or control position, and in such cases directors / Management Board members are considered to be the actual/ beneficial owners.
- E) Actual/ beneficial owners of trusts, and of any legal entities subject to foreign law equal to a trust, are considered to be the natural persons who exert ultimate control over the respective legal entity, which includes the following: founders, managers, guardians, an individual trust beneficiary or a group of trust beneficiaries, council members and other natural persons exerting control.
- F) Actual/ beneficial owners of domestic and foreign associations and their alliances, trusts, funds, institutions, artistic organizations, chambers, trade unions, employer associations, political parties, co-operatives, credit unions or religious communities, are any natural person/s authorised for representation or any natural person/s of a controlling assets management position.

ACTUAL OWNERSHIP STATEMENT

A) Data on the business entity for which the statement is issued:

Name of business entity:

Statistical reg.no.:

PIN:

Place of foundation/registration (full address):

If you are registered as a joint stock company whose stocks are traded at a recognised stock exchange (see the list herein below), please state the name of the stock exchange and the stock code, in which case you are not obligated to continue filling the Statement further:

name of the stock exchange

the ISIN stock code

B) Data on the actual / beneficial owners

1) The ownership is divided into parts with a sufficient percentage of share, stocks or voting rights YES ☐

2) The ownership is divided into parts without a sufficient percentage of share, stocks or voting rights YES ☐

If the answer to item 2) above is YES, please tick one of the below options:

☐ Actual ownership is realized by means of a control position (provide documentation for the control position)

☐ Actual ownership is realized by means of subsidiary ownership

Owner:

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (post code, place, street, house no.):

Country of residence:

PIN*required only for direct owners:

Name and no. of ID documents:

Citizenship:

Gender: ☐ male ☐ female

Percentage of ownership:

Type of ownership: A ☐ B ☐ C ☐ D ☐ E ☐ F ☐ (tick with reference to clarification as on page 1 herein):

Owner:

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (post code, place, street, house no.):

Country of residence:

PIN*required only for direct owners:

Name and no. of ID documents:

Citizenship:

Gender: ☐ male ☐ female

Percentage of ownership:

Type of ownership: A ☐ B ☐ C ☐ D ☐ E ☐ F ☐ (tick with reference to clarification as on page 1 herein):

Owner:

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (post code, place, street, house no.):

Country of residence:

PIN*required only for direct owners:

Name and no. of ID documents:

Citizenship: Gender: ☐ male ☐ female

Percentage of ownership:

Type of ownership: A B C D E F (tick with reference to clarification as on page 1 herein): A ☐ B ☐ C ☐ D ☐ E ☐ F ☐

Owner:

Name and surname:

Date of birth:

Place of birth (place, country):

Address of residence (post code, place, street, house no.):

Country of residence:

PIN*required only for direct owners:

Name and no. of ID documents:

Citizenship: Gender: ☐ male ☐ female

Percentage of ownership:

Type of ownership: A B C D E F (tick with reference to clarification as on page 1 herein): A ☐ B ☐ C ☐ D ☐ E ☐ F ☐

C) Information on all Management Board members and procurists of a foreign business entity*

1. Management Board members and procurists (data on Supervisory Board members are not required):
2. Other authorised representatives of the company authorised to contract deals with RBA:

*section C) is filled only by foreign business entities. Foreign business entities are to submit also their Articles of Association

Name	Surname	PIN or Date of birth		Country of residence	Function

D) Information on politically exposed person status (acting in a prominent public office)

Is any of the representatives or beneficial owners of the business entity, in keeping with the regulations regulating local elections in the Republic of Croatia, in any of the following functions: mayor (of municipality), mayor, county prefect or deputy, or a family member of a person in any such function (spouse/life partner, parent, child or marital/non-marital partner of child) or a close associate with whom the person in function has joint ownership of the business entity.

NO ☐ YES ☐

If the above answer is YES, please fill the information for the politically exposed person:

Name	Surname	PIN or Date of birth	Country of residence	Function

E) To the effect of determining the existence of a fiduciary relationship (of a trust or a similar legal entity subject to foreign law), I, hereby, declare to perform the business activity:

for my own account ☐ YES

for the account of a 3rd person ☐ YES

*If your answer is that you perform the business activity for the account of a third person, you are required to fill Supplement II to this Statement!

By my/ our respective signature/s, I/ we, hereby, represent and warrant that the above information is correct and up-to-date. I/ we undertake to notify the Bank in writing of any and all changes to the above data or of any and all changes to the information that refers to the beneficial/actual owners, a change in Management Board members or in any other persons authorised to represent the business entity.

I/ we, hereby, give my/ our explicit consent that the information from this Statement herein can be exchanged with any domestic or international member of the Raiffeisen Group solely for the purpose of determining the information on the beneficial/actual owners.

Name and surname of person/s authorised for representation:

Certification by the Client:

Place and date:

Notes:

Please, in Supplement I provide the overall ownership structure. Instead of filling Supplement I, the organization scheme can be supplied, which scheme is dated and signed by the authorised representative, and presents the overall ownership structure and includes all of the following: name, city, country and ownership percentage of every entity completely to the end beneficial owner.

The client is required to document data on beneficial owners. Eligible documentation includes data from the competent registry provided as original or certified photocopy. If such a document does not contain data on the beneficial owners, the client is required to deliver the originals or photocopies of the documentation that provide such information (e.g. excerpt from shareholder register) and that is not older than 6 weeks.

Eligible stock exchanges: Australia Pacific Exchange, American Stock Exchange, Athens Stock Exchange, Australian Securities Exchange, Bendigo Stock Exchange, Bern eXchange, Bolsa de Valores de Barcelona, Bolsa de Valores de Bilbao, Bolsa de Valores de Valencia, Borsa Italiana, Börse Berlin, Börse München, Börsen Hamburg und Hannover, Boston Stock Exchange, Bratislava Stock Exchange, Bucharest Stock Exchange, Budapest Stock Exchange, Bulgarian Stock Exchange, Canada's New Stock Exchange, Chicago (formerly the Cincinnati Stock Exchange), Chicago Board Options Stock Exchange, Chicago Stock Exchange, Copenhagen Stock Exchange, Cyprus Stock Exchange, Deutsche Börse Group, Doha Securities Market, Eurex, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Frankfurt Stock Exchange, Fukuoka Stock Exchange, Helsinki Stock Exchange, Hiroshima Stock Exchange (Merger with Tokyo Stock Exchange), Hong Kong Exchanges and Clearing, Hong Kong Growth Enterprise Market, Hong Kong Stock Exchange precursor to Hong Kong Exchanges and Clearing, Iceland Stock Exchange, International Options Exchange, Irish Enterprise Exchange, Irish Stock Exchange, JASDAQ, Kobe Stock Exchange (Merger with Osaka Securities Exchange), Ljubljana Stock Exchange, London Stock Exchange, Luxembourg Stock Exchange, Madrid Stock Exchange, Malta Stock Exchange, MATIF, Mercado Oficial Español de Futuros y Opciones, merged with Archipelago Holdings, Montreal Exchange, Nagoya Stock Exchange, NASDAQ, Nasdaq Canada, NASDAQ OMX Stockholm, National Stock Exchange, National Stock Exchange of Australia (formerly Newcastle Stock Exchange), New York Board of Trade, New York Stock Exchange, New Zealand Exchange Limited, Niigata Stock Exchange (Merger with Tokyo Stock Exchange), Nippon New Market Hercules (Former Nasdaq Japan Market / Now a section of Osaka Securities Exchange for high-growth startup companies), OMX Nordix Exchange, OPEX, Osaka Securities Exchange, Oslo Stock Exchange owned and operated by CBOE, Pacific Exchange, Philadelphia Stock Exchange, Prague Stock Exchange, precursor to Singapore Exchange, Rasdaq, Riga Stock Exchange, Santiago Electronic Stock Exchange, Santiago Stock Exchange, Sapporo Stock Exchange, Sibiu Stock Exchange, Shanghai Stock Exchange, Shenzhen Stock Exchange, Singapore Exchange, Stock Exchange of Singapore, SWX Swiss Exchange, Sydney Futures Exchange, taken over by Archipelago Holdings, Tallinn Stock Exchange, Tel Aviv Stock Exchange, Tokyo Stock Exchange, Toronto Stock Exchange, TSX Venture Exchange, Alparaiso Stock Exchange, Vienna Stock Exchange, Vilnius Stock Exchange, Warsaw Stock Exchange, Winnipeg Commodity Exchange, Zagreb Stock Exchange (regulated market only).